I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON THE DATE INDICATED BELOW.

BY: Flizabeth Shewell DATE: December 76, 7007

Patent

Box Non-Fee Amendment

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Patent Application of

: Group Art Unit: 1775

Nanning J. Arfsten, et al.

Appln. No.:

09/437,948

RECEIVED

TO 1700 Examiner: J. McNeil

Conf. No.:

8672

Filed:

November 10, 1999

For:

NIOBIUM OXIDE-BASED LAYERS

FOR THIN FILM OPTICAL COATINGS

AND PROCESSES FOR PRODUCING

THE SAME

: Attorney Docket

No. 275-3US

AMENDMENT

This Amendment is being filed in response to the Office Action dated October 3,

2002 (Paper No. 16) in the above-identified patent application.

Please amend the application without prejudice as follows:

Please add the following section at page 1, below line 3:

-- CROSS-REFERENCE TO RELATED APPLICATION

This application is a continuation-in-part of co-pending U.S. Application No. 10/139,477,

filed May 6, 2002, which is a divisional of U.S. Patent Application No. 09/201,599, filed

November 30, 1998, now U.S. Patent No. 6,410,173 B1.--

REMARKS

Claims 1, 2, 11, 12, 19, 20, 22, and 23 are presently pending in the application.